# **NORTH SYDNEY COUNCIL**

# SUBDIVISION or STRATA CERTIFICATE APPLICATION

Made under the Environment Planning and Assessment Act 1979. Part 6, Division 6.4 or Strata Schemes Development Act 2015. Part 4.

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Lodgement via the NSW Planning Portal: www.planningport				portal.ns	w.gov.au	OFFICE USE ONLY. Keep this box blank.			
Pla	Planning Portal queries: Ph: 1300 305 695 OR Email: info@se				nsw.gov.au	OFFICE Keep t			
Wha	at certificate are yo	ou applying fo	? (must be com	pleted)					
land		stratu	m		strata			consolidati	ion
Info	rmation required:		st on this applicati			T			
<b>Document Type</b>		(please tick) 18 - subdivision linked to DA certificate						<ul> <li>38 - subdivis</li> <li>DA certificate</li> </ul>	
P	PART 1 Appl	lication an	d Site Det	ails					
1.	Applicant	Mr 🗆	Mrs			Ms [		Other	
	It is important that we are able to contact you if we need more information	Full Family N or Company	ame (no initials)						
		Full Given Names (no initials) (or A.C.N.)  Postal Address							
	Please give us as much details as possible								
		Phone			Altern	ate			
		Mobile			Email				
		Contact Person							
			-	Person w	ho may be con	ntacted to dis	cuss the appli	ication during busin	ess hours
2.	Location of the property	Unit No.	House No	Ο.	Stree	t			
	property	Suburb							
	We need this to correct	ly identify the land.							
		Real Propert	Real Property Description						
		(eg, Lot/DP,	etc)						
				The	se details ar	e shown or	n your rates'	notices, property	deeds, etc.
	Office Use Only	Parcel		Re	ceiving Of	ficer			

	interest, Council will not accept applications for	Owner Address				
	<b>Every</b> owner of the land must sign this form.					
	When works affect a joint vall, consent of both property owners is required, (e.g., emi-attached or terrace (welling).  If the owner is a company, the owner is a company the owner is a commandation to the owners' signature, the		As owner of the land to which this application relates, I consent to this application. I also consent for authorised council officers to enter the land to carry out inspections relating to this application.  Without the owner's consent, we will not accept the application. This is a very strict requirement for all applications. Please call us to find out who owns the land, according to our records.  If you are signing on the owner's behalf as the owner's legal representative, you must state the			
	common seal of the Body Corporate must be stamped on this form over the signature of the owner and signed by the Chairman of the Body Corporate or the appointed managing agent.	,	nature of your legal authority and attach documentary evidence (e.g., Power of Attorney, Executor, Trustee, Company Director, etc).			
4.	Description of work  Please describe briefly ev thing that you want approximately a second control of the contr	,	Boundary adjustment  Creation of lots to be created How many?			

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PART 2	Che	cklis	t and Decl	aratio	า				
All the details sought in the accompanying checklist must be provided.									
	The completed checklist must be submitted with this application. Failure to provide the required documentation of an acceptable standard will result in your application being returned.								
5. Declarati	5. Declaration  I apply for approval to carry out the development or works described in tapplication. I declare that all the information in the application and checklist is to tapple to the development or works described in tapplication. I declare that all the information in the application and checklist is to tapple to the development or works described in tapple to tapple								
If the applicant is a company or strata title body corporate, this declaration must be signed by a director or authorised delegate under common seal.  I also understand that if the information is incomplete the application may be requested. I acknowledge information provided is misleading any approval granted 'may be void.'					acknowledge th	e delayed aat if the			
			I certify that the Development		rovided in support of	this app	lication	comply with the	е
Applicant Signa	ture:			/		Date:			
Copyright Note				1	/	_			
The Applicant is re	sponsible f	or obtai	ning all copyright l	icences nec	essary from the copyrig	tht owner	s for this	s purpose.	
Fees & Deposits		\$	Receipt No.	Date	Fees & Deposits		\$	Receipt No.	Date
Certificate fee	(703)				Inspection Fee	(717)			
Archiving	(722)				Other				
		Ð	EQUIRED				SI	JPPLIED	
		I N	LQUINLD			VEC			
1. The original Prepared by	<b>plan of s</b> t / a registe	ubdivis red sur	ion or strata pla veyor.	ın		YES	NO	WHY NOT	
2. Original dep	osited pla	ın or st	rata plan admin	istration s	heet				
To accompa provided on	To accompany plan of subdivision. Not required where panel for signing provided on the plan of subdivision or strata plan.								
To accompa	3. Original 88b instrument  To accompany plan of subdivision or strata plan only where it is proposed to create any covenants, easements and the like.								
developme	4. Copy of front page (only) of relevant development consent or complying development certificate**  To indicate the necessary consent is in place for the subdivision.								
	the neces	5. Documentary evidence that all relevant conditions of consent have been satisfied and necessary works completed**							
	y evidenc				consent have				
been satisf	y evidenc	ecessa		leted**					
been satisf	y evidence ied and netrate that a	ecessa all neces	ry works compl	e been com					

# **Contract for Certification Work**

Under section 31 of the Building and Development Certifiers Act 2018 and clause 31 of the Building and Development Certifiers Regulation 2020.



# **About this form (contract)**

North Sydney Council must not carry out certification work for a person unless it has entered into a written contract with that person. You must therefore complete and sign this Contract for Certification Work and attach it to your application.

When the contract is received it will be signed by an authorised officer and a copy of the executed contract will be emailed to you for your records.

# How to complete this form (contract)

1. Ensure that all fields have been filled out correctly.

Part A: Parties to this contract						
1. Applicant						
Title	Given Name/s		Family Name			
Organisation name /	Company name (if applicable)					
Address						
Preferred contact nur	nber	Email addres	SS			

#### 2. North Sydney Council

ABN 32 353 260 317

200 Miller Street, North Sydney, NSW 2060

Phone: 02 9936 8100

Email address: <a href="mailto:council@northsydney.nsw.gov.au">council@northsydney.nsw.gov.au</a>

#### **Part B: Introduction**

- Council is a certifying authority and employs registered certifiers who are authorised to carry out certification work on behalf of Council.
- Section 31(1) of the Building and Development Certifiers Act 2018 says that Council must not carry out certification work for a person unless it has entered into a written contract with that person.
- The applicant appoints Council to carry out certification work on the terms set out in this contract.

Part C: Application type					
3. I am the applicant described in the attached Application for:					
	(tick as applicable)				
Complying Development Certificate Application (Note: you may elect to appoint Council as Principal Certifier at a later stage: see Part E)					
Construction Certificate Application (Note: you may elect to appoint Council as Principal Certifier at a later stage: see Part E)					
Application for Subdivision Works					
Compliance Certificate Application					
Occupation Certificate Application (Note: you may elect to appoint Council as Principal Certifier at a later stage: see Part E)					
Notice of Commencement of Building or Subdivision Work and Appointment of Council as Principal Certifier					
4. The information contained in the attached Application form is incorporated into this contract.					
Part D: Certification work to be performed by Council					
5. I am the applicant described in the attached Application for:					
	(tick as applicable)				
Determination of Applications for Development Certificates					
Determination of application for a complying development certificate					
Determination of application for construction certificate					
Determination of application for subdivision works certificate					
Determination of application for compliance certificate					
Determination of application for occupation certificate					
Undertaking the function of Principal Certifier (PC)					
Appointment of Council as Principal Certifier					
Note: If you select Council to be your PC you will also need to formally appoint the North Sydney Council as the PC to carry out inspections and to issue an occupation certificate. A form will be forwarded to you with your approval (construction certificate, complying development certificate or subdivision works certificate) to complete and return to Council. A letter confirming Councils appointment will then be issued allowing you to commence work.					

#### Part E: Subsequent Appointment of Council as Principal Certifier

6. Particulars of the certification services to be carried out by Council under the contract can be found at: <a href="https://www.northsydney.nsw.gov.au/Building\_Development/Building\_DA\_Forms/Construction\_Forms">https://www.northsydney.nsw.gov.au/Building\_Development/Building\_DA\_Forms/Construction\_Forms</a>.

Note: This part only applies to determinations of applications for:

- complying development certificates
- construction certificates
- subdivision works
- occupation certificates
- 7. After entering into this contract, the applicant may elect to appoint Council as PC for the development.
- 8. If the applicant elects to appoint Council as PC for the development, upon lodgement of the form 'Notice of Commencement of Building or Subdivision Work and Appointment of Council as Principal Certifier' with Council, the applicant agrees that:
  - a. this contract will also apply to the subsequent appointment of Council as PC for the development;
  - b. the information contained in the application form 'Notice of Commencement of Building or Subdivision Work and Appointment of Council as Principal Certifier' will be automatically incorporated into this contract;
  - Council will notify the applicant if an employee certifier nominated under Part H will change. Council
    will notify the applicant in writing of the name and accreditation number of the different employee
    certifier who will carry out the PC function; and
  - d. the Fees and Charges in Part I will apply.

Part F: Modification of Complying Development Certificate, Construction Certificate or Subdivision Works Certificate

Note: This part only applies to determinations of applications for:

- complying development certificates
- construction certificates
- subdivision works
- 9. After entering into this contract, the applicant may seek to modify any of the above certificates for the development.
- 10. If a modification is sought, upon lodgement of the application form for any of the above certificates with Council relating to the modification, the applicant agrees that:
  - a. this contract will also apply to the subsequent modification application for the development;
  - b. the information contained in the application form will be automatically incorporated into this contract;
  - c. Council will notify the applicant if the employee certifier described under Part H will change. Council will
    notify the applicant in writing of the name and accreditation number of the different employee certifier who
    will carry out the PC function and
  - d. the Fees and Charges in Part I will apply.

Part G: Development details				
11. In addition to the site details contained in the attached Application form, the applicant declares that:				
a. the development can be described as (eg: single	storey dwelling):			
b. the following development consent details apply	y (tick as applicable)			
Development consent granted by consent auth	ority			
Name of consent authority				
Development consent no. / identifier	Date of development consent			
Development consent given by the issue of a co	omplying development certificate (CDC)			
Name of certifying authority				
CDC no. / identifier	Date of CDC (determination)			
Part 6 certificates issued under the Environmen	ntal Planning and Assessment Act 1979 (Construction			
Certificate, Compliance Certificate, Subdivision				
Type of Part 6 certificate issued:	Name of certifying authority			
Certificate no. / identifier	Date of certificate (determination)			
c. the following approved documents apply:				
Details of plans, specifications and other documents approved by development consent / CDC:				
Details of plans, specifications and other documen	ts the subject of any Part 6 certificate:			
Details of plans, specifications and other documen	ts the subject of any Part 6 certificate:			
Details of plans, specifications and other documen	ts the subject of any Part 6 certificate:			
Details of plans, specifications and other documen	ts the subject of any Part 6 certificate:			

#### Part H: Certifier's details

12. Council proposes, at the date of this contract, to have the following employee certifier carry out the certification work:

Name of Certifier

Registration number

To be completed by Council.	To be completed by Council.

13. Council proposes, at the date of this contract, that all inspections required under the Environmental Planning and Assessment Act 1979 in connection with the certification work will be carried out by one of the following employee certifiers:

Name of CertifierRegistration numberLong HuynhBDC2003Simon HitchcockBDC1593Dane JohnsonBDC2796

14. If Council later decides to have a different employee certifier carry out the certification work or the inspections, Council will notify the applicant in writing of the name and registration number of that other person.

#### Part I: Fees and Charges

# **Determination of Applications for Development Certificates**

- 15. The applicant must pay the fees and charges for the determination of an application for a development certificate (including modification of a current certificate, where relevant) as specified in Council's Schedule of Fees and Charges.
- 16. These fees and charges must be paid to Council before, or at the time, the application for a development certificate is lodged with Council.
- 17. In the case of fees and charges payable for work arising from unforeseen contingencies, such as the assessment of performance solutions, these fees and charges will be calculated as specified in Council's Schedule of Fees and Charges. Council will issue a payment notice to the applicant within 21 days after the completion of such work and the applicant must pay by the due date specified on the payment notice.

#### **Undertaking the functions of Principal Certifier (PC)**

- 18. The applicant must pay the fees and charges for Council to carry out the functions of a PC for the development as specified in Council's Schedule of Fees and Charges.
- 19. These fees and charges must be paid to Council in full at the time of lodging the PC appointment form (after the certificate is issued) and before Council commences to carry out the functions of PC.
- 20. In the case of fees and charges payable for work arising from unforeseen contingencies, such as additional inspections, these fees and charges will be calculated as specified in Council's Schedule of Fees and Charges. Council will issue a payment notice to the applicant within 21 days after the completion of such work and the applicant must pay by the due date specified on the payment notice.

#### Council's Schedule of Fees and Charges

21. Council's Schedule of Fees and Charges is available for download from the North Sydney Council's website and it is the responsibility of the applicant to obtain a copy.

## Part J: Information brochure

22. The Development and Building Certifiers Regulation 2020 requires an information brochure containing details about the statutory obligations of certifiers, published by Fair Trading NSW, to be attached to this contract.

# Part K: Execution of Contract

#### **Declaration:**

- 1. I have freely chosen to engage the certifier; and
- 2. I have read the contract and the attached information brochure and understand my responsibilities to those of the certifier.

This contract is made on the date it is signed by Council:

# **Individual Applicant**

**SIGNED** by the applicant in the presence of:

Witness signature:	Applicant's signature:
Name & Address of Witness (printed):	Applicant's name (printed):
, ,	,
Date:	
Incorporated Company	
<b>SIGNED</b> by the applicant in accordance with section 127	·
Director signature:	Director / Secretary signature:
Name (printed):	Name (printed):
Date:	
Authorised Officer  SIGNED ** for and on behalf of North Sydney Council by	wite duly authorized officer in the presence of
Witness signature:	Authorised Officer Signature:
withess signature.	Authorised Officer Signature.
Name of Witness(printed):	Name of Authorised Officer (printed):
Date:	
	** This section will be completed by the Building Compliance team after lodgement

# **Lodgement Details**

This form must be submitted with your application at lodgement. Please refer to the application form lodgement details or for applications lodged via the NSW Planning Portal, please attach to your application at time of lodgement.

For further information regarding your application please contact us by:

**EMAIL:** <u>council@northsydney.nsw.gov.au</u>

**TELEPHONE**: (02) 9936 8100

**WEBSITE:** www.northsydney.nsw.gov.au

# **Privacy and Personal Information Protection Notice**

**Purpose of collection:** This information is being collected for the purpose of carrying out certification work for an

individual or organisation.

Intended recipients: North Sydney Council employees. Any approved contractors required to provide this

service.

**Supply:** The supply of this information is required by law. If you are unwilling to provide this

information, North Sydney Council may be unable to provide access to North Sydney

Council services.

Access/Correction: Please contact Customer Service on 02 9936 8100 or at <a href="mailto:council@northsydney.nsw.gov.au">council@northsydney.nsw.gov.au</a>

to access or correct your personal information.

Storage: North Sydney Council, located at 200 Miller Street, North Sydney NSW 2060, is collecting

this information and North Sydney Council will store it securely.

Other uses: North Sydney Council will use your personal information for the purpose for which it was

collected and may use it as is necessary for the exercise of other functions.



PO Box 972 Parramatta NSW 2124 Tel: 02 9895 0111 TTY: 1300 723 404 ABN 81 913 830 179 www.fairtrading.nsw.gov.au

# Information about registered certifiers – building surveyors and building inspectors

#### Important: this is a summary document only.

Before signing any contract for certification work, make sure you understand your obligations and what you are agreeing to. The Fair Trading website has more information about certifiers.

Under section 31(2) of the Building and Development Certifiers Act 2018 and clause 31 of the Building and Development Certifiers Regulation 2020, a contract to carry out certification work must be accompanied by an applicable document made available on the website of the Department of Customer Service (which includes NSW Fair Trading)<sup>1</sup>. This is the applicable document for certification work involving a certifier registered in the classes of **building** surveyor or **building inspector**, working in either the private sector or for a local council.

This document summarises the statutory obligations of the registered certifier who will assess your development and your obligations as the applicant for the development. It also sets out the types of information that can be found on Fair Trading's online register of registrations and approvals.

#### Obligations of registered certifiers

The general obligations of registered certifiers include compliance with their conditions of registration, to hold professional indemnity insurance, comply with a code of conduct and avoid conflicts of interest.

## Who does a registered certifier work for?

A registered certifier is a public official and independent regulator, registered by the Commissioner for Fair Trading.

Certifiers must carry out work in a manner that is impartial and in the public interest, even if this is not in the interests of the applicant, developer, or builder. Receiving a certificate is not guaranteed – the applicant is paying for the certifier to assess the application and determine whether or not a certificate can be issued.

It is a serious offence to attempt to bribe or influence a certifier, attracting a maximum penalty of \$110,000 and/or two years imprisonment.

<sup>1</sup> Visit www.fairtrading.nsw.gov.au and search 'certification contracts'.

#### Obligations of the applicant

An applicant is the person seeking a certificate, or engaging a certifier for other certification work, under the Environmental Planning and Assessment Act 1979.

As an applicant, you have the following obligations:

- · Appoint, and enter into a contract with, your chosen certifier.
- Pay the certifier's fees before any certification work<sup>2</sup> is carried out.
- Communicate with your builder to ensure the certifier is notified when work reaches each stage. If a mandatory inspection is missed, the certifier may have to refuse to issue an occupation certificate.
- Follow any written direction issued by the certifier and provide any requested additional information to assess an application.
- Meet the conditions of any development consent and ensure the development is carried out in accordance with the approved plans.

#### What does a registered building surveyor do?

Registered **building surveyors** issue certificates under the *Environmental Planning and*Assessment Act 1979 (construction certificates, complying development certificates and occupation certificates), act as the **principal certifier** for development, and inspect building work

The principal certifier will attend the site to carry out mandatory inspections at certain stages. When construction is complete, the certifier may issue an occupation certificate, which signifies that the work:

- is 'suitable for occupation' this does not necessarily mean all building work is complete
- · is consistent with the approved plans and specifications
- · meets all applicable conditions of the approval.

The certifier does not manage or supervise builders or tradespeople or certify that the builder has met all requirements of the applicant's contract with the builder.

#### What does a registered building inspector do?

Registered **building inspectors** carry out inspections of building work<sup>3</sup> with the approval and agreement of the principal certifier. Building inspectors are not authorised to issue certificates or be appointed as the principal certifier.

<sup>&</sup>lt;sup>2</sup> Upfront fee payment is required for any work to determine an application for a development certificate or carry out a function of a principal certifier.

<sup>&</sup>lt;sup>3</sup> Building inspectors may inspect class 1 and 10 buildings under the Building Code of Australia; that is, houses, duplexes and the like; garages and sheds; and structures such as swimming pools, retaining walls and fences.

#### Principal certifier enforcement powers

If the principal certifier becomes aware of a non-compliance with the development approval, the certifier must, by law, issue a direction to you and/or the builder, requiring the non-compliance to be addressed. If it is not, the certifier must notify the council which may take further action.

The certifier is also required to respond appropriately if a complaint is made about the development.

# Finding more information on certifiers

Details of the class of registration each certifier holds, their period of registration, professional indemnity insurance and disciplinary history can be found at <a href="https://www.fairtrading.nsw.gov.au">www.fairtrading.nsw.gov.au</a>:

- Details of registered certifiers (or search 'appointing a certifier' from the homepage)
- <u>Disciplinary actions against certifiers</u> (or search 'certifier disciplinary register' from the homepage).

#### Questions?

The Fair Trading website <a href="www.fairtrading.nsw.gov.au">www.fairtrading.nsw.gov.au</a> has information about certifiers, enforcement powers, how to replace a certifier and resolving concerns about a certifier:

- · Search 'what certifiers do' for information about a certifier's role and responsibilities.
- Search 'concerns with development' for information about enforcement powers of certifiers, councils and Fair Trading, and how to resolve concerns about a certifier.

The NSW Planning Portal at <a href="www.planningportal.nsw.gov.au">www.planningportal.nsw.gov.au</a> provides information on the NSW planning and development certification system.

Note, although Fair Trading regulates certifiers, it does not mediate in contract disputes and does not regulate the actions of councils. Visit the Fair Trading website for more information.

C State of New South Wales through Department of Customer Service 2020.